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# A highly bioactive lignophenol derivative from bamboo lignin exhibits a potent activity to suppress apoptosis induced by oxidative stress in human neuroblastoma SH-SY5Y cells

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Abstract—Approaches to protection against neurodegenerative diseases, in which oxidative stress and inflammation are implicated, should be based on the current concept on the etiology of these diseases. Recently, a new therapeutic strategy has been proposed to protect neurons from cell death by attenuating the apoptotic signal transduction. Lignin, a durable aromatic network polymer second to cellulose in abundance, was able to be converted into highly active lignophenol derivatives with antioxidant activity by using our newly developed phase-separation technique. These lignophenol derivatives were found to show the potent neuroprotective activity against oxidative stress. Among the compounds examined, a lignocresol derivative from bamboo (lig-8) exhibited the most potent neuroprotective activity against hydrogen peroxide ( $H_2O_2$ )-induced apoptosis in human neuroblastoma cell line SH-SY5Y by preventing the caspase-3 activation via either caspase-8 or caspase-9. Furthermore, it was found that lig-8 exerted the antiapoptotic effect by inhibiting dissipation of the mitochondrial membrane permeability transition induced by  $H_2O_2$  or by the peripheral benzodiazepin receptor ligand PK11195. Lig-8 was also shown to be potent in the antioxidant activity in the cells exposed to  $H_2O_2$ , as assessed by flow cytometry using 5-(and-6)-chloromethyl-2',7'-dichlorodihydrofluorescein diacetate and in vitro reactive oxygen species-scavenging potency. These data suggest that lig-8 is a promising neuroprotector, which affects the signaling pathway of neuronal cell death and that it would be of benefit to delay the progress of neurodegenerative diseases.

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#### 1. Introduction

The plant cell wall is a complicated semi-interpenetrating network structure built up from cellulose, hemicellulose, and lignin. Lignin is a durable aromatic network polymer second to cellulose in natural abundance. However, the utilization of lignin has not yet been successfully achieved, because lignin molecules lack stereoregularity and also the repeating units in the molecule are heterogeneous and complex. In addition, ligno-

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cellulosics components cannot be separated by the simple extraction processes because of nonselective modifications during the drastic isolation process such as the pulping processes, which make lignin molecules even more heterogeneous.

Recently, a new phase-separation system for production of functional lignin derivatives from native lignins was devised. This system utilizes phenols and concentrated acid. The resulting lignin derivatives, lignophenols, have highly phenolic function, high stability, and less heterogeneous compared with those after the conventional lignin preparations. The phenolic properties of lignophenols can be modulated by using different phenols. Thus, it can be assumed that the lignophenols derived

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from native lignins have different phenolic functionalities to exhibit various biological activities. Moreover, the functionality of lignophenols is able to be easily modulated by various modifications.<sup>4</sup>

The antihuman immunodeficiency virus activity of lignins such as lignosulfonate isolated by pulping processes<sup>5</sup> and lignin-like substance extracted from lignocellulosics,<sup>6</sup> and anticancer activity of lignin F<sup>7</sup> have been previously reported. However, these lignins can hardly be used as medicinal resources, because they cannot be mass-produced practically and their molecules are highly heterogeneous.

Apoptosis is an active, energy-dependent process through which living cells initiate their own death, and it is induced by a variety of physiological and pharmacological stimuli. Some clinical evidences show that disturbed apoptotic cell death is associated with certain diseases, such as cancers and immuno-insufficiencies. On the other hand, apoptosis is now considered to be the major death mode of neurons in neurodegenerative disorders such as Parkinson's disease (PD), Alzheimer's disease, and Huntington's disease. In PD, apoptotic features of dopaminergic neurons were detected in the substantia nigra of brains by the terminal deoxylnucleotidyl transferase-mediated nick end labeling method or by electromicroscopic study.

Previous studies have indicated that the neuronal toxicity is mediated and enhanced by reactive oxygen species (ROS) or reactive nitrogen species (RNS) and thus that the oxidative stress accelerates the cell death of neuronal cells in neurodegenerative disorders. <sup>10,11</sup> In fact, such neuronal cell death has been reported to be attenuated by antioxidants and free radical scavengers. <sup>12,13</sup> Recent

studies have shown that green tea polyphenols reduced free radical-induced lipid peroxidation. 12 Tea polyphenols have been attracting increasing interest because of their antioxidant, anti-inflammatory, 14,15 anticarcinogenic, 16,17 and iron chelating properties, 18 as demonstrated both in vivo and in vitro. In addition to such properties, these compounds can penetrate the bloodbrain barrier, 19 and so may be promising for the development of drugs for treatment or prevention of neurodegenerative diseases associated with oxidative stress. In the present study, we have demonstrated the preventive effect of a highly bioactive lignophenol on the cell death induced by oxidative stress, and also showed that its neuroprotective activity was due to inhibition of caspase activation, prevention of dissipation of the mitochondrial membrane permeability transition (PT), and ROS- or RNS-scavenging activity.

#### 2. Results and discussion

## 2.1. Characteristics of lignophenol derivatives

Native lignins with complicated 3-dimensional structures were converted to lignophenols by the phase-separation system, the summary of which is given in Figure 1. Lignophenols retain the original interunit linkages formed by dehydrogenative polymerization during the biosynthesis of native lignin, and have high phenolic functionality. By using different phenols for the phase-separation system, the different kinds of lignophenols were produced. Lignopolyphenols, such as lignocate-chol, lignoresorcinol, and lignopyrogallol, which have many phenolic hydroxyl groups, are highly hydrophilic. On the other hand, lignomonophenols combined with monohydric phenols such as cresol are water-insoluble.

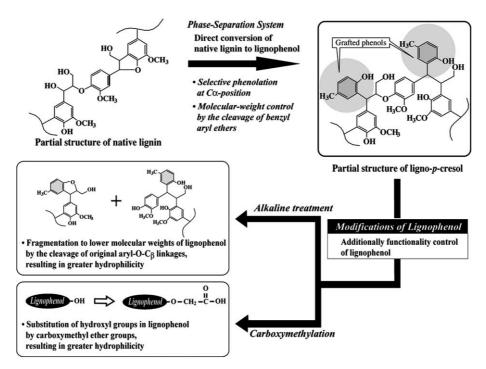


Figure 1. Conversion of native lignin into lignophenol derivatives and control of their functionality.

Table 1. Properties of lignophenol derivatives and the effect of various lignophenol derivatives on H<sub>2</sub>O<sub>2</sub>-induced apoptosis in SH-SY5Y cells

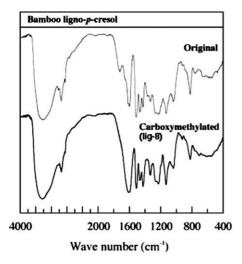
No.	Lignophenol derivatives	Properties of lignophenol derivatives				Antiapoptotic effect
		Hydroxyl groups** (mol/C <sub>9</sub> )		Combined carboxymethyl groups***(mol/C <sub>9</sub> )	Weight average molecular	
		Phenolic OH	Total OH		weight**** $(\overline{M}_{\mathrm{W}})$	
1	Lignin alkali	_	_	_	28,000	+
2	Lignopyrogallol (Japanese cedar)	3.61	4.27	_	3410	_
3	Lignocatechol (Japanese cedar)	2.78	3.46	_	4820	_
4	Lignoresorcinol (Japanese cedar)	2.66	3.37	_	4810	+
5	Lignopyrogallol (rice husk)	Not measured		_	1950	_
6	AT-lignopyrogallol (Japanese cedar)	3.11	3.63	_	2430	_
7	CM-lignocresol (rice husk)	0.62	1.53	0.60	3810	+
8	CM-lignocresol (bamboo)	0.46	1.31	0.66	5330	+++
9	CM-lignocresol (beech)	0.64	1.75	0.67	5460	+
10	CM- and AT-lignocresol* (beech)	0.63	1.77	0.75	1500	+
11	AT-lignocresol (beech)	1.21	2.18	_	1700	++

(-) No effect, (+) blockage 0-25%, (++) 25-50%, (+++) 50-100%.

AT—alkaline-treated, CM—carboxymethylated.

All lignophenol derivatives are water-soluble. (\*) Carboxymethylated materials of the water-insoluble fraction of alkaline-treated lignocresol. (\*\*) Hydroxyl groups of lignopolyphenols and CM-derivatives were determined by <sup>1</sup>H NMR spectra for original lignopolyphenols in CDC1<sub>3</sub>–C5D<sub>5</sub>N (1:3, v/v) and their acetylated derivatives in CDCl<sub>3</sub> (containing TMS as the internal reference) and nonaqueous potentiometric titration, respectively. (\*\*\*) Carboxy methyl groups were determined by nonaqueous potentiometric titration. (\*\*\*\*) Average molecular weights were calculated by gel permeation chromatograms (columns; Shodex KF602, KF603, and KF604, eluent; tetrahydrofuran, detector; UV at 280 nm) for acetylated derivatives, and which of lignin alkali was provided by technical data of Aldrich chemical company, Inc.

However, when water-insoluble lignophenols were carboxymethylated (CM) and/or alkaline-treated (AT), they became highly hydrophilic and water-soluble. Especially, CM-lignophenols showed a high binding affinity for proteins because of the introduced carboxyl groups.<sup>5</sup> The carboxymethyl groups were recognized by <sup>1</sup>H NMR (Table 1) and IR spectroscopy (Fig. 2). Signals at  $\delta$  4.6 in the NMR spectra of CM-lignophenols (lig-8), which were absent in the original lignophenols, were due to the methylene protons of the -OCH<sub>2</sub>COOH groups. As shown in Figure 2, absorption bands around 1600-1710 and 1425 cm<sup>-1</sup> in the IR spectra of CM-lignocresols were markedly increased in intensity compared with those of original lignophenols after phase-separation, due to the overlapped absorptions of introduced carboxyl groups and aromatic nuclei in the original ligno-



**Figure 2.** IR spectra of original (preparation after phase-separation) and carboxymethylated bamboo lignocresol derivative, lig-8.

phenols (Fig. 2). The molecular weights of lignopolyphenols with or without AT and/or CM-lignophenols were within range of 1500–5500 or 2000–5000, respectively (Table 1).

# 2.2. Protective effects of lignophenol derivatives against the apoptosis induced by oxidative stress

We examined the ability of various lignophenol derivatives to protect SH-SY5Y cells from hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)-induced cell death. First, we determined the concentration of H<sub>2</sub>O<sub>2</sub> required to induce apoptotic cell death by incubation for 12h and the concentrations of 75–100 µM were found to be enough to induce apoptosis. The cell death induced by H<sub>2</sub>O<sub>2</sub> at 100 µM for 12h was found to be due to apoptosis, because the morphological findings characteristic of apoptosis such as nuclear condensation and fragmentation assessed by Hoechst 33342 nuclear staining, as well as DNA ladder formation were observed (Fig. 3). Next, we evaluated the apoptosis-blocking effect of various lignophenol derivatives (10–50 µM) for 12h. To circumvent a direct effect of the compounds on externally added ROS in the medium, the compounds were added after the treatment with H<sub>2</sub>O<sub>2</sub> for 2h. As shown in Table 1, 7 of the 11 lignophenol derivatives tested exhibited the protective activity in the H<sub>2</sub>O<sub>2</sub>-induced apoptosis at 30 µM. The lignocresol derivatives were more potent in the neuroprotective activity compared with other lignophenols. Especially, the CM-lignocresol from bamboo named lig-8, displayed the most potent apoptosis-preventing activity at 20 and 30 µM. We used this compound for the following experiments for comparison with epigallocatechin gallate (EGCG) from green tea, which has been reported to protect against neuronal cell death induced by oxidative stress. 12,13,18 Lig-8 had no effect on the growth of SH-SY5Y cells at the concentrations of 10-50 μM, whereas EGCG showed cytotoxicity at more

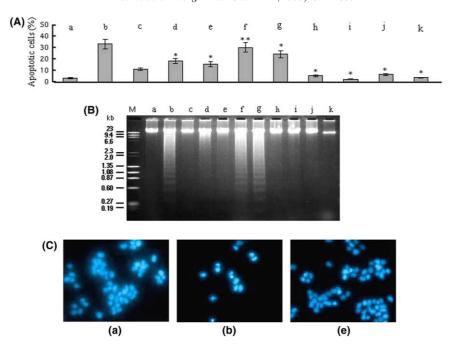
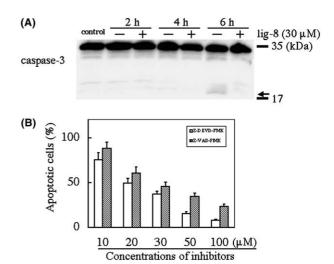


Figure 3.  $H_2O_2$  or SIN-1-induced apoptosis and its blockage by the treatment with lig-8 or EGCG for 12 h in SH-SY5Y cells. (A) Apoptotic cell death evaluated by Hoechst 33342 staining. Results are the mean ±SD of three independent experiments, \*p<0.01; \*\*p<0.05 versus b or c. (B) Apoptotic cell death evaluated by DNA ladder formation. (a) Control (untreated cells); (b)  $H_2O_2$  100 μM; (c) SIN-1 500 μM; (d)  $H_2O_2$  100 μM plus lig-8 20 μM; (f)  $H_2O_2$  100 μM plus EGCG 10 μM; (g)  $H_2O_2$  100 μM plus EGCG 20 μM; (h) SIN-1 500 μM plus lig-8 20 μM; (i) SIN-1 500 μM plus lig-8 30 μM; (j) SIN-1 500 μM plus EGCG 10 μM; (k) SIN-1 500 μM plus EGCG 20 μM. (C) Microscopic observation of cells treated with  $H_2O_2$  alone (b) or  $H_2O_2$  plus lig-8 (e) in Hoechst 33342 nuclear staining. Untreated control cells (a).

than  $30\,\mu\text{M}$  (data not shown). Lig-8 significantly prevented  $H_2O_2$ -induced apoptosis at 20 or  $30\,\mu\text{M}$ , whereas EGCG at 10 or  $20\,\mu\text{M}$  exhibited a marginal antiapoptotic effect (Fig. 3A and B). In the case of apoptosis induced by 3-(4-morpholinyl)sydonimine (SIN-1)<sup>20</sup> which generates RNS in cells,<sup>21</sup> lig-8 also exerted a potent protection against apoptosis at the same concentrations as in the  $H_2O_2$ -induced apoptosis. EGCG was more protective against SIN-1-induced apoptosis than  $H_2O_2$ -induced one (Fig. 3A and B).

In order to determine the site(s) of lig-8's action in the signaling pathway of apoptosis, we examined the activation of an apoptosis executioner caspase, caspase-3, by Western blot analysis. The 18-kD active form of caspase-3 was discernible at 6h after the treatment with 100 μM H<sub>2</sub>O<sub>2</sub> (Fig. 4A). However, such active form was not detected in the paired sample from the cells treated with 100 µM H<sub>2</sub>O<sub>2</sub> in the presence of lig-8, suggesting that lig-8 blocked the caspase-3 activation. In order to further confirm the involvement of caspase-3 activation in apoptosis, we examined the effects of a caspase-3 inhibitor Z-DEVD-FMK and a pan-caspase inhibitor Z-VAD-FMK on apoptosis. It was shown that the inhibitors efficiently prevented the apoptosis in a concentration-dependent manner, as judged by Hoechst 33342 nuclear staining (Fig. 4B). The inhibitor almost blocked the apoptosis at 100 µM. In addition, the activation of apoptosis initiator caspase-8 was clearly demonstrated by colorimetric protease assay (Fig. 5A) and dose-dependent apoptosis inhibition was shown by assay using a caspase-8 inhibitor Z-IETD-FMK (Fig. 5B). Thus, H<sub>2</sub>O<sub>2</sub>-induced apoptosis in SH-SY5Y cells



**Figure 4.** Involvement of caspase-3 activation in the  $H_2O_2$ -induced apoptosis and inhibition of caspase-3 activation by lig-8. (A) Western blot analysis of caspase-3. The arrow indicates the active form of caspase-3. (B) Apoptosis inhibition assay using caspase-3 inhibitor Z-DEVD-FMK or pan-caspase inhibitor Z-VAD-FMK. The apoptotic cell death was evaluated by Hoechst 33342 staining. Results are the mean $\pm$ SD of three independent experiments. The percentage of apoptotic cells without the inhibitor is as 100%.

was exerted through the activation of both caspase-8 and -3. However, lig-8 did not affect the activation of caspase-8 (Fig. 5A).

In the apoptosis inhibition assay using pan-caspase or caspase-3 inhibitor, addition of lig-8 reduced the dose

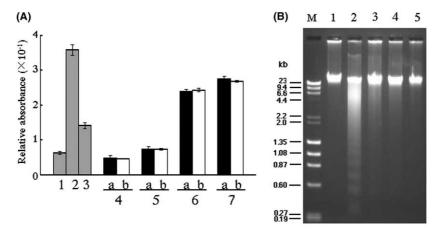
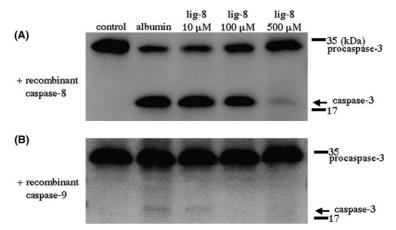


Figure 5. Involvement of caspase-8 in the  $H_2O_2$ -induced apoptosis examined by colorimetric protease assay and apoptosis inhibition assay using Z-IETD-FMK in SH-SY5Y cells. (A) Colorimetric protease assay of caspase-8 in  $H_2O_2$ -induced apoptosis. Each sample was prepared described in Experimental. Column 1, Jurkat cells without treatment; 2, Jurkat cells treated with ant-Fas antibody for 3h; 3, Jurkat cells treated with ant-Fas antibody in the presence of caspase-8 inhibitor (Z-IETD-FMK); (columns 4–7: (a) treatment with  $100 \mu M$   $H_2O_2$ , (b) treatment with  $100 \mu M$   $H_2O_2$  plus  $30 \mu M$  lig-8); 4, SH-SY5Y cells before treatment; 5, treated for 2h; 6, treated for 4h; 7, treated for 6h. The values of absorbance at 405 nm are expressed. Means ±SD of three independent experiments are given. (B) Activation of caspase-8 in SH-SY5Y cells examined by apoptosis inhibition assay. Three micrograms of DNA was applied onto each lane. The inhibitor for caspase-8, Z-IETD-FMK, was added 6h before exposure to  $100 \mu M$   $H_2O_2$ . The rescue of cell death was evaluated at 12h after  $100 \mu M$   $H_2O_2$  exposure by reduced formation of nucleosomal DNA fragments at each concentration of the inhibitor. Lane 1, DNA from cells in the presence of 0.01% DMSO and  $60 \mu M$  Z-IETD-FMK (control); lane 2, treatment with  $100 \mu M$   $H_2O_2$  for 12h; lane 3, with  $100 \mu M$   $H_2O_2$  plus  $20 \mu M$  inhibitor; lane 4, with  $40 \mu M$  inhibitor; lane 5, with  $60 \mu M$  inhibitor. Lane M is a DNA size marker.

of Z-DEVD-FMK or Z-VAD-FMK to exert the equivalent antiapoptotic efficacy (data not shown). These results led us to explore the in vitro effect of lig-8 in the process of procaspase-3 proteolysis. Lig-8 was added to the reaction mixture containing SH-SY5Y cell lysate and the recombinant active caspase-8 in tubes, and its effect on caspase-3 activation was examined by Western blot analysis. Lig-8 dose-dependently prevented the proteolysis of procaspase-3 by recombinant active caspase-8 (Fig. 6A). Indeed, the higher concentrations of lig-8 were needed to suppress the activation of caspase-8, but the results from in vivo and in vitro experiments suggested that lig-8 possibly blocked the activation of caspase-3.

In the last several years, it has become increasingly clear that mitochondria play a major rate-limiting role in the apoptosis of neuronal cells. The decision/effector phase of the apoptotic process converges on mitochondria, where permeabilization of mitochondrial membranes occurs as a result of the permeability transition pore complex (PTPC).<sup>22,23</sup> We next examined the effect of lig-8 on mitochondrial membrane PT in the apoptosis. The mitochondrial membrane potential examined by FACS clearly showed a decrease in the cells treated with H<sub>2</sub>O<sub>2</sub> for 8 h and its decrease was significantly reduced in the presence of lig-8 (Fig. 7A). Furthermore, the release of cytochrome c from mitochondria and simultaneously the sequential activation of caspase-9 were also



**Figure 6.** Effect of lig-8 on proteolytic cleavage of procaspase-3 by recombinant caspase-8 or caspase-9 in vitro examined by Western blot analysis. (A) Proteolysis of procaspase-3 by recombinant caspase-8. (B) The proteolysis of procaspase-3 by recombinant caspase-9. The cell lysate without recombinant caspase-8 is used as a negative control. We added albumin to reaction buffer as a positive control.

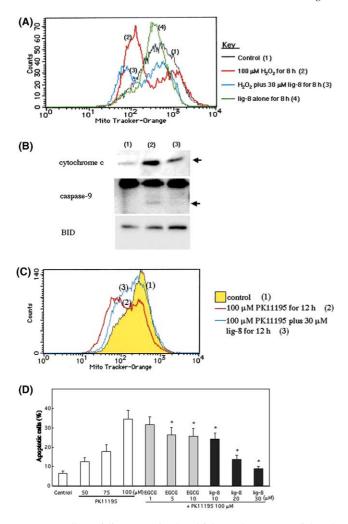


Figure 7. Effect of lig-8 on mitochondrial membrane potential and release of cytochrome c in the H<sub>2</sub>O<sub>2</sub>- or PK11195-induced apoptosis. (A) Mitochondrial membrane potential examined by FACS using Mito-Tracker Orange or Green. The cells were treated for 8h with  $100\,\mu M~H_2O_2$  (trace 2),  $100\,\mu M~H_2O_2$  plus  $30\,\mu M$  lig-8 (trace 3), or lig-8 alone (trace 4). Control (trace 1) is untreated cells. The patterns of fluorescence intensity of Mito-Tracker Green were almost similar in the samples tested. (B) Western blot analysis of cytochrome c, caspase-9, and BID at 8h after the treatment with  $100\,\mu M\ H_2O_2$  (lane 2) or 100 μM H<sub>2</sub>O<sub>2</sub> plus 30 μM lig-8 (lane 3). Lane 1 is untreated cells (control). The lane numbers correspond to the same numbers in (A). (C) Mitochondrial membrane potential in PK11195-induced apoptosis examined by FACS using Mito-Tracker Orange or Green. The cells were treated for 12h with 100 μM PK11195 (trace 2) or 100 μM PK11195 plus 30 µM lig-8 (trace 3). Control (trace 1) is untreated cells. The patterns of fluorescence intensity of Mito-Tracker Green were almost similar in the samples tested. (D) Comparison of the apoptosisinhibitory activity between lig-8 and EGCG in PK11195-induced apoptosis evaluated by Hoechst 33342 nuclear staining. Results are the mean  $\pm$  SD of three independent experiments, \*p<0.01 versus the value of apoptotic cells (%) in 100 μM PK11195 treatment.

suppressed by lig-8 (Fig. 7B). Thus, H<sub>2</sub>O<sub>2</sub>-induced apoptosis is considered to be mediated via the mitochondrial pathway, and lig-8 was shown to ameliorate the apoptosis by preventing depolarization of mitochondrial membrane PT. The truncated BID, which induces the release of cytochrome c from mitochondria without mitochondria potential loss,<sup>23</sup> was not observed by Western blot analysis (Fig. 7B). Considering the time course of the

apoptosis, the activation of caspase-8/3 likely affects the mitochondrial pathway.

In order to further assess the effect of lig-8 on mitochondrial membrane PT, we examined the changes in mitochondrial membrane potential induced by a peripheral benzodiazepine receptor (PBR) ligand, 1-(2-chlorophenyl)-N-(1-methylpropyl)-3-isoquinolinecarboxamide (PK11195).<sup>24,25</sup> FACS demonstrated that the decrease in mitochondrial membrane potential by 100 µM PK11195 was considerably prevented by lig-8 at 30 µM (Fig. 7C). Moreover, the activation of caspase-9 by PK11195 was almost blocked by 30 µM lig-8 (data not shown) and therefore, lig-8 significantly inhibited the apoptosis in a concentration-dependent manner, whereas EGCG only slightly inhibited the PK11195-induced apoptosis (Fig. 7D). Thus, lig-8 was able to prevent PK11195-induced cell death mediated by mitochondrial membrane PT, as observed in H<sub>2</sub>O<sub>2</sub>-induced cell death. Based on these data, we also examined the effect of lig-8 on in vitro proteolysis of the recombinant active caspase-9, and showed that lig-8 prevented proteolysis of procaspase-3 (Fig. 6B). Taken together, these data suggest that the antiapoptotic effect of lig-8 was most likely due to its action on the caspase-3 proteolytic process by caspase-8 and -9, and also on depolarization of mitochondrial membrane PT.

The level of intracellular ROS measured by FACS using CM-H<sub>2</sub> DCF-DA at 2h after the treatment with H<sub>2</sub>O<sub>2</sub> was slightly increased. However, the level in H<sub>2</sub>O<sub>2</sub>/ lig-8- and H<sub>2</sub>O<sub>2</sub>/EGCG-cotreated cells was reduced, indicating that lig-8 as well as EGCG exerted an ROSscavenging effect (Fig. 8A, right panel). Even though the level of intracellular ROS at 30 min after the treatment compensatively decreased in the H<sub>2</sub>O<sub>2</sub>-treated cells (Fig. 8A, left panel), the ROS scavenging activity of lig-8 was also observed. Perhaps the ROS scavenging activity of lig-8 is more potent than that of EGCG. These results were consistent with those in in vitro ROS-scavenging test (Fig. 8B, left panel). EGCG may scavenge more NO radicals compared with lig-8 in in vitro ROSscavenging test (Fig. 8B, right panel), which possibly reflected the results of cell death assay in Figure 3A.

The results in the present study have provided the first demonstration that lignophenol derivatives protected human dopaminergic neuroblastoma SH-SY5Y cells against cell death due to apoptosis induced by oxidative stress. We were successful in converting the native lignins to bioactive lignophenols, which have almost original 3-dimentional network structures by using the phase-separation technique. Among various lignophenols, lignocresol derivatives exhibited higher apoptosis-blocking activity than other lignophenols tested. Lig-8, the water-soluble carboxymethylated (CM)-lignocresol from bamboo, displayed the most potent preventive effect on the apoptosis induced by oxidative stress such as H<sub>2</sub>O<sub>2</sub> and NO in SH-SY5Y cells.

Native lignin has various complex structures with interunit linkages, polyphenolic groups, and a 3-dimensional branched network. Furthermore, the chemical proper-

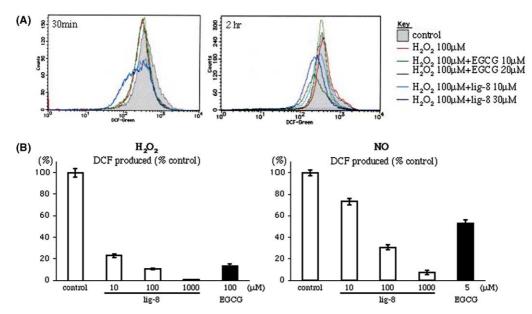


Figure 8. Antioxidant activity of lig-8. (A) The intracellular ROS levels scavenged by EGCG or lig-8 after  $H_2O_2$ -treatment in SH-SY5Y cells was measured by FACS as described in Experimental. The cells in the medium without FCS were treated with  $100\,\mu\text{M}$   $H_2O_2$  for  $30\,\text{min}$  or 2h. (B) The levels of ROS generated from  $H_2O_2$  or a nitric oxide donor, Nor-4, were quantified by measuring the fluorescence intensity of DCF, and the scavenging potencies of EGCG and lig-8 were expressed as the percentage of control without the antioxidants. The average of two independent measurements is given.

ties of lignins, especially the network structures, differ greatly depending on the lignocellulosics, because the precursors bulging up lignin differ in their species. Generally, softwood lignins consist mainly of guaiacyl aromatic units, and hardwood lignins contain evenly guaiacyl and syringyl units. On the other hand, herb lignins including bamboo have parahydroxy phenyl units rather than these units. Certainly, the structure containing the parahydroxy phenyl units in bamboo lignin and the additional phenolic groups may contribute to the neuroprotective activity of lig-8. However, further studies of the structure—activity association are necessary to determine the units or groups involved in the activity.

The apoptosis induced by H<sub>2</sub>O<sub>2</sub> in SH-SY5Y cells was mediated by activation of caspase-3, which was confirmed by Western blot analysis and the apoptosis inhibition assay using the caspase-3 inhibitor Z-DEVD-FMK or pan-caspase inhibitor Z-VAD-FMK. Lig-8 significantly prevented activation of caspse-3 at 6h after the treatment with H<sub>2</sub>O<sub>2</sub>. The apoptosis was completely blocked by the incubation with either 100 µM Z-VAD-FMK or Z-DEVD-FMK. Addition of various concentrations of lig-8 to the inhibitor clearly reduced amount of the inhibitors in dose-dependent manner to compensate the apoptosis-blocking ability in 100 µM of the inhibitor (data not shown). These results raise the possibility that lig-8 may directly block the activation of caspase-3 and/or other caspases. Then, we examined the activation of caspase-8, as an initiator caspase of apoptosis, which acts upstream of procaspase-3. By colorimetric protease assay using substrate of caspase-8, we found that the activation of caspase-8 is involved in the apoptosis. However, we could not obtain the positive data that lig-8 affects the activation. In the light of these data, we examined the proteolysis blocking effect of lig-8 on caspase-3 activation in vitro and found that lig-8 blocked the proteolysis of procaspase-3 by recombinant active caspase-8 in a dose-dependent manner. However, the higher concentrations of lig-8 were needed to see inhibition in caspase-3 activation, compared with those of in vivo. Therefore, although it can be considered that lig-8 rescues cells from the apoptosis by inhibiting the activation of caspase-3 via caspase-8, antiapoptotic effect of lig-8 is at least in part mediated through caspase-8/3. Similarly, the in vitro proteolysis inhibition assay using the recombinant active caspase-9 showed the ability of lig-8 to inhibit the proteolytic cleavage of procaspase-3. To define the specificity of lig-8's action to inhibit procaspase-3 proteolysis via caspase-8 or -9, further experiments are required.

It has been earlier reported that the caspase-8 activation is mediated by induction of Fas expression in  $H_2O_2$ -induced apoptosis in B-cell lymphoma cells.<sup>26</sup> However, we did not obtain any evidence causing caspase-8 activation in the  $H_2O_2$ -induced apoptosis.

On the other hand, lig-8 significantly prevented the depolarization of mitochondrial membrane potential at 8h after the treatment, as judged by FACS analysis using Mito-Tracker fluorescent probes. Moreover, Western blot analysis of cytochrome c indicated marked suppression of cytochrome c release from mitochondria by lig-8. In order to further confirm lig-8's action on mitochondrial membrane PT, we examined the effect of lig-8 in PK1195-induced apoptosis involving the loss of mitochondrial membrane PT.<sup>24,25</sup> As observed in H<sub>2</sub>O<sub>2</sub>-induced apoptosis, lig-8 considerably protected the cells from PK11195-induced apoptosis by preventing the loss of PT. Lig-8 may affect the interaction of PK11195 with peripheral benzodiazepine receptor

(PBR), in part, by competitive inhibition. In Bcl-2-overexpressing SH-SY5Y cells, PK11195-induced apoptosis was completely blocked (data not shown). Therefore, its mode of prevention of apoptosis was different from that by lig-8, suggesting that the PBR receptor on the mitochondrial membrane may be closely associated with molecules of PTPC including Bcl-2/Bax and VDAC.<sup>27</sup> Thus, lig-8 prevents the depolarization of mitochondrial membrane PT in both cases. Since the caspases-8/3 pathway (6h after H<sub>2</sub>O<sub>2</sub>-treatment) precedes the mitochondrial pathway (8h after H<sub>2</sub>O<sub>2</sub>-treatment), it is suggested that the activation of caspase-8/3 may affect the mitochondrial pathway via caspase-2.28,29 In fact, the caspase-2 inhibitor Z-VDVAD-FMK significantly blocked the apoptosis dose-dependently, however, lig-8 did not affect the activation of caspase-2 (data not shown) and the truncated BID acting on mitochondria was not observed.

As for the antioxidant activity of lig-8, we have demonstrated that the intracellular ROS level of H<sub>2</sub>O<sub>2</sub>-treated cells was decreased by addition of lig-8. Its scavenging activity was also shown in in vitro ROS-scavenging test and even on superoxide (data not shown). Therefore, lig-8 likely exerts its protective activity by scavenging ROS. In comparison with EGCG, which has been reported to exert neuroprotective effect because of its anti-oxidant activity, <sup>12,13,18</sup> lig-8 attenuated the cell death induced by either H<sub>2</sub>O<sub>2</sub> or nitric oxide free radical donor SIN-1 in SH-SY5Y cells. In addition, our data suggest that EGCG may scavenge RNS produced by NO generating agent SIN-1-rather than ROS by H<sub>2</sub>O<sub>2</sub>-treatment. Since the preventive effect of lig-8 is mainly due to blockage of the apoptotic signaling pathway, the mechanism(s) underlying preventive effect for cell death by lig-8 seems likely to be different from that by EGCG.

Recently, some phytochemicals are considered to be beneficial for prevention of neuronal cell death by affecting the intracellular signal transduction pathway. EGCG has protective effects against Aβ-induced apoptosis through regulation of the secretory process of nonamyloidogenic amyloid precursor protein via protein kinase C (PKC).<sup>30</sup> Quercetin prevents the H<sub>2</sub>O<sub>2</sub>-mediated mitochondrial dysfunction by preserving mitochondrial membrane PT as well as an increased in expression of BCL-2 and BCL-X(L) in cardiomyoblast cells.<sup>31</sup> It was also reported that quercetin exhibits a protective action against NO-induced toxicity via PKC pathway in cultured rat hippocampal cells.<sup>32</sup> In our cases, the levels of phosphorylated ERK and p38 were changed, but they were not affected by lig-8.

Lig-8 also exhibited an antiapoptotic activity against  $\rm H_2O_2$ -induced apoptosis even in rat neuroblastoma cell line PC12. More extensive studies are necessary for elucidation of the apoptosis-inhibitory activity of lig-8 in primary cultured neuronal cells and in animal models, which are under current progress in our laboratory. Lig-8 is considered to exhibit a more potent neuroprotective effect compared with EGCG because of the direct action on apoptosis signal pathways. The results of our current study suggest that lignocresol derivatives would

be a candidate for agent to rescue the neuronal cells from cell death induced by the oxidative stress that accelerates the progression of neurodegenerative diseases.

#### 3. Conclusion

In the present work it was demonstrated that by using our newly developed phase-separation technique lignin, a durable aromatic network polymer second to cellulose in abundance was able to be converted into highly active lignophenol derivatives with antioxidant activity. These lignophenol derivatives were found to show the potent neuroprotective activity against oxidative stress. Among the compounds examined, a lignocresol derivative from bamboo (lig-8) exhibited the most potent neuroprotective activity against hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)-induced apoptosis in human neuroblastoma cell line SH-SY5Y by preventing the caspase-3 activation via either caspase-8 or caspase-9. Furthermore, it was found that lig-8 exerted the antiapoptotic effect by inhibiting dissipation of the mitochondrial membrane permeability transition induced by H<sub>2</sub>O<sub>2</sub> or by the peripheral benzodiazepin receptor ligand PK11195. These data suggest that lig-8 is a promising neuroprotector, which affects the signaling pathway of neuronal cell death and that it would be of benefit to delay the progress of neurodegenerative diseases.

# 4. Experimental

# 4.1. Lignocellulosics and lignin preparation

Air-dried lignocellulosics (softwood, Japanese cedar [Cryptomeria japonica]; hardwood, Japanese beech [Fagus crenata]; herbs, rice husk [Oryza sativa], and bamboo [Phyllostachys bambusoides]) were milled until they could pass through a 100 μm screen and then extracted with ethanol–benzene (1:2, v/v) for 48 h. Extracts of these lignocellulosics were air-dried to remove the solvent and then the extract were treated with pepsin solution by Ellis's method<sup>33</sup> to prepare their protein-free form. Lignin alkali (Aldrich Chemical Co. Inc., WI) was used as conventional lignin, kraft lignin.

#### 4.2. Synthesis and isolation of lignophenol

Using a modified phase-separation system (Two-step process I) (Fig. 1),<sup>2</sup> we synthesized water-insoluble ligno-*p*-cresol and water-soluble ligno-catechol, -resorcinol, and -pyrogallol. These phenols (monohydric phenol *p*-cresol and polyhydric phenols catechol, resorcinol, and pyrogallol; 3 mol/C9 [C9 is lignin building unit]) were sorbed to extract solvent-free wood or protein-free herb meals. Sulfuric acid (72%, 20 mL/g lignocellulosics) was added to the lignocellulosics-sorbed phenols, and the mixture was vigorously stirred at room temperature for 1 h. Phenol-benzene solution (7:3, v/v) was added to the mixture with stirring. After stirring, the reaction mixture was separated into two phases, organic and aqueous phases. The organic phase was taken up and then an excess amount of ethyl ether was added drop-

wise with vigorous stirring. The resultant precipitates were dissolved in acetone and the insoluble substance was removed. The aqueous phase was mixed with excess water and centrifuged. The supernatant was neutralized with NaOH, dialyzed, and freeze-dried. The dried material was extracted with methanol, and then the soluble fraction was added dropwise to an excess amount of ethyl ether with stirring. The precipitate (water-soluble lignophenol) was collected by centrifugation and dried over  $P_2O_5$  after evaporating the solvent.

# 4.3. Selective cleavage of lignophenols by alkaline treatment

The aryl ether linkages of lignophenols were selectively cleaved by alkaline treatment. For this, water-insoluble ligno-p-cresol from the organic phase was treated with 0.5 N NaOH (20 mL/g lignophenol) at 170 °C for 1 h and the reaction mixture was then acidified to pH2 with 1 N HCl and centrifuged. The resultant precipitate (water-insoluble fraction) was collected by centrifugation, washed several times, and dried over  $P_2O_5$ . The supernatant (water-soluble fraction) was dialyzed and freeze-dried.

## 4.4. Carboxymethylation of lignophenol

Water-insoluble lignocresol from the organic phase or water-insoluble fraction of alkaline-treated lignocresol was dissolved in isopropanol (IPA, 4g/g lignophenol), and 40 % NaOH (2.5 g/g lignophenol) was added with stirring. Then, monochloroacetic acid (1.6 mol/mol hydroxyl group in lignophenol) in isopropanol (4g/g lignophenol) was added and stirred further at 50 °C for 2h. The resultant precipitate including carboxymethylated (CM-) lignophenols was collected by centrifugation, dissolved in water, and acidified to pH2. The insoluble fraction was removed by centrifugation and the supernatant containing the water-soluble CM-lignophenols was dialyzed and then freeze dried.

# 4.5. Structural analysis of lignophenols

The amounts of combined phenols and hydroxyl groups in the lignophenols were calculated from <sup>1</sup>H NMR spectra of acetylated lignophenols obtained with a JEOL JNM-LA300 FT-NMR. *p*-Nitrobenzaldehyde was used as the internal reference for their determination. The extents of the carboxymethylation were estimated by infrared (IR) spectroscopy (KBr disks, JASCO FT/IR-8900 m) and NMR spectroscopy. The average molecular weights were measured by gel permeation chromatography on a JASCO GULLIVER 1500 system, PU-1585, UV-1570 with two columns (Shodex OH-pak SB-803 HQ, SB-806M HQ). Sodium chloride (10 µM) was used as the eluent.

#### 4.6. Cell culture and treatment of SH-SY5Y

Human neuroblastoma cell line SH-SY5Y was cultured in MEM supplemented with 5% fetal calf serum (FCS) and maintained at a density of  $2 \times 10^5$  cells per mL before treatment. For the experiments, the cells were seeded at

the density of  $1-2\times10^5$ /mL in 60 mm diameter wells and cultured for 12 h. After the cells were pretreated with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>; Wako, Tokyo), 3-(4-morpholinyl)sydonimine (SIN-1; Dojindo, Kumamoto, Japan), and 1-(2-chlorophenyl)-N-(1-methylpropyl)-3-isoquinolinecarboxamide (PK11195; TOCRIS, MO) for 2h, agents such as lignophenol derivatives and epigallocatechin gallate (EGCG; Wako) were added to the cell cultures at selected concentrations.

#### 4.7. Assessment of apoptosis

For assessment of the morphological characteristics of apoptosis, the cells were stained with Hoechst 33342 (5 μg/mL) at 37 °C for 30 min, washed once with PBS, resuspended and pipetted dropwise onto a glass slide, and examined by fluorescence microscopy using an Olympus microscope (Tokyo, Japan) equipped with an epi-illuminator and appropriate filters. The cells with condensed and fragmented nuclei stained with Hoechst 33342 were assessed to be apoptotic. In all conditions examined, necrotic cell death by H<sub>2</sub>O<sub>2</sub> was negligible (less than 2% of the total cells). Approximately 200 cells were counted in four different fields and three independent experiments were performed. To examine nucleosomal DNA fragmentation by agarose gel electrophoresis, cellular DNA was extracted from whole cells by ethanol precipitation after phenol/chloroform preparation. RNase was added to the DNA solution at the final concentration of 20 µg/mL, and the mixture was incubated at 37 °C for 30 min. After electrophoresis on a 2.5% agarose gel, DNA was visualized by ethidium bromide staining. For the apoptosis inhibition assay, a caspase-3 inhibitor, Z-DEVD-FMK (MBL, Nagoya, Japan), a pan-caspase inhibitor, Z-VAD-FMK (MBL), a caspase-8 inhibitor, Z-IETD-FMK (MBL), or a caspase-2 inhibitor, Z-VDVAD-FMK (Sigma, Saint Louis, MO) was added at the desired concentrations to the medium 30 min before the H<sub>2</sub>O<sub>2</sub> treatment.

#### 4.8. Western blot analysis

SH-SY5Y cells were washed twice with PBS, suspended in lysis buffer A or B and then homogenized. Lysis buffer A (2×PBS, 0.1% SDS, 1% Nonidet P-40, 0.5% sodium deoxycholate, and 25×complete, protease inhibitor (Roche, Penzberg Germany)) was used to analyze caspases and BID. Lysis buffer B (250 mM sucrose, 20 mM Hepes-KOH (pH7.5), 10mM KCl, 1.5mM MgCl<sub>2</sub>, 1 mM EDTA, 1 mM EGTA, 1 mM DTT, and 25×Complete) was used to analyze AIF and cytochrome c. The mitochondrial and cytosolic fractions were prepared by the centrifugation after the incubation with Lysis buffer B. Five micrograms of lysate protein was separated by SDS-PAGE using a 12% polyacrylamide gel and electroblotted onto a PVDF membrane (Du Pont, Boston, MA). After blockage of nonspecific binding sites for 1h with 5% nonfat milk in PBS containing 0.1% Tween 20, the membrane was incubated overnight at 4°C with antihuman caspase-3 (Transduction Laboratories, Lexington, KY), antihuman caspase-9 (MBL), antihuman cytochrome c (R&D Systems, Minneapolis,

MN), or antihuman BID (R&D Systems) antibody. The membranes were then washed three times with PBS containing 0.1% Tween 20, incubated further with alkaline phosphatase-conjugated goat antimouse antibody (Promega, Madison, WI) at room temperature, and then washed three times with PBS containing 0.1% Tween 20. The immunoblots were visualized by use of an enhanced chemiluminescence detection kit (New England Biolabs, Beverly, MA).

## 4.9. In vitro proteolysis of procaspase-3 by caspase-8 or -9

Protein (1 µg) of SH-SY5Y cell lysate was incubated at 37°C for 1h with 1 unit or 3 units of recombinant caspase-8 (MBL) or -9 (MBL), respectively, in the solution containing 50 mM Hepes (pH7.2), 50 mM NaCl, 0.1% Chaps, 10mM EDTA, 5% glycerol, and 10mM DTT. The proteolytic cleavage was assessed by Western blot analysis.

#### 4.10. Measurement of mitochondrial membrane potential

Mitochondrial membrane potential was measured by use of the fluorescent dyes, Mito-Tracker Green (Molecular Probes, #M-7514, Eugene, OR) estimating the mitochondrial volume, and Mito-Tracker Orange (Molecular Probes, #M-7511), which accumulates selectively in active mitochondria. After the cells were washed twice with RPMI-1640 medium, the treated or untreated cells were incubated with Mito-Tracker fluorescent probes (100 nM each) for 30 min at 37 °C. Then the cells were collected, washed twice with PBS, and resuspended in PBS. The fluorescence of Mito-Tracker Orange and Green was analyzed by fluorescence activated cell sorter (FACS; Becton Dickinson, San Jose, CA).

# 4.11. Measurements of production and scavenging activity of reactive oxygen or reactive nitrogen species

The production of reactive oxygen species (ROS) was monitored by the use of 5-(and-6)-chloromethyl-2',7'-dichlorodihydrofluorescein diacetate (CM-H<sub>2</sub> DCF-DA; Molecular Probes, Irvine, CA) or 2',7'-dichlorodihydrofluorescein diacetate (H<sub>2</sub> DCF-DA; Molecular Probes). After the cells treated with or without H<sub>2</sub>O<sub>2</sub> were washed twice with PBS, CM-H<sub>2</sub> DCF-DA (10 µM) was added to the cell suspension in PBS at 37°C. After 30 min-incubation, the 2',7'-dichlorodihydrofluorescein (DCF) in cells was determined by the FACS. The fluorometric measurement was performed with excitation and emission wavelengths of 495 and 530 nm, respectively. For the measurement of the in vitro ROS scavenging potency, ROS was produced in a 96-well microplate by incubation of 1 mM H<sub>2</sub>O<sub>2</sub> or 1 mM nitric oxide donor, Nor-4 (Dojindo) in PBS at 37°C and the effects of lig-8 or EGCG were examined by addition of their various concentrations. The increase of a fluorescent product, DCF from H<sub>2</sub> DCF-DA was followed in a MTP-600F (CORONA electronic, Hitachinaka, Japan) reader with excitation at 495 and 530 nm emission.

# 4.12. Colorimetric protease assay

The activation of caspase-8 was determined by colorimetric protease assay. Briefly, the cells treated with H<sub>2</sub>O<sub>2</sub> were harvested at the indicated times, suspended in cell lysis buffer, and then incubated on ice for 10 min. The lysate containing 150 µg protein was incubated with 200 µM IETD-pNA substrate (MBL) at 37°C for 2h. Levels of released pNA were measured with a Nalgenunc spectrofluorometer at 405 nm. The cell lysate of Jurkat cells treated with anti-Fas antibody (clone CH-11) (MBL) for 3h was used as a positive control for caspase-8 activation.

#### 4.13. Statistical analysis

For the statistical analyses, 1-way ANOVA and Fisher's PLSD test were performed by using StatView software (SAS Institute Inc., Cary, NC).

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